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PATENT APPLICATION TRANSMITTAL LETTER
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Docket No.
52953.8

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Najla Guthrie et al.

For: **COMPOSITIONS AND METHODS OF TREATING ...**

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Invention:

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APPLICATION OF

NAJLA GUTHRIE , ELZBIETA MARIA KUROWSKA

AND

JOHN A. MANTHEY

FOR LETTERS PATENT OF THE UNITED STATES

FOR IMPROVEMENTS IN

COMPOSITIONS AND METHODS OF TREATING, REDUCING, AND
PREVENTING CARDIOVASCULAR DISEASES AND DISORDERS WITH
POLYMETHOXYFLAVONES

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COMPOSITIONS AND METHODS OF TREATING, REDUCING, AND PREVENTING CARDIOVASCULAR DISEASES AND DISORDERS WITH POLYMETHOXYFLAVONES

1. CROSS REFERENCE TO RELATED APPLICATIONS

This application is a Continuation-In-Part of co-pending United States Patent Application Serial Number 09/167,634, filed on October 6, 1998 entitled "Compositions and Methods of Inhibiting Neoplastic Diseases With Compounds Related to Limocitrin and 5-Desmethyl Sinensetin", wherein the entire disclosure is herein incorporated by

A. Field of the Invention reference.

2. BACKGROUND OF THE INVENTION

The present invention relates to compositions and methods for the prevention, reduction, and/or treatment of cardiovascular diseases with synthetic and naturally occurring polymethoxyflavone compounds derived, some of which are derived from limocitrin and quercetin.

These compounds include, but are not limited to, the following examples of limocitrin and quercetin derivatives:

limocitrin-3,7,4'-trimethylether (5-hydroxy-3,7,8,3',4'-pentamethoxyflavone)

limocitrin-3,5,7,4'-tetramethylether (3,5,7,8,3',4'-hexamethoxyflavone)

limocitrin-3,5,7,4'-tetraethylether (8,3'-dimethoxy-3,5,7,4'-tetraethoxyflavone)

limocitrin 3,7,4'-trimethylether-5-acetate

quercetin tetramethylether (5-hydroxy-3,7,3',4'-tetramethoxyflavone)

quercetin 3,5-dimethylether-7,3',4'-tribenzyl ether

quercetin pentamethylether (3,5,7,3',4'-pentamethoxyflavone)

quercetin-5,7,3',4'-tetramethylether-3-acetate

quercetin-5,7,3',4'-tetramethylether (3-hydroxy-5,7,3',4'-tetramethoxyflavone)

Examples, but not limited to, of naturally occurring polymethoxyflavones for the

purposes of the present invention include:

3,5,6,7,8,3',4'-heptamethoxyflavone
nobiletin (5,6,7,8,3',4'-hexamethoxyflavone)
tangeretin (5,6,7,8,4'-pentamethoxyflavone)
5-desmethylnobiletin (5-hydroxy-6,7,8,3',4'-pentamethoxyflavone)
tetra-O-methylisoscutearein (5,7,8,4'-tetramethoxyflavone)
5-desmethylnobiletin (5-hydroxy-6,7,3',4'-tetramethoxyflavone)
sinensetin (5,6,7,3',4'-pentamethoxyflavone).

B. Description of the Related Art

Limocitrin derivatives are a group of citrus-derived flavonoids that are naturally occurring in the plant or are chemically synthesized. 5-desmethylnobiletin is chemically synthesized form of sinensetin (Tatum, J.H. et al., *Phytochemistry* II, 2283-2288, 1972). Sinensetin occurs in trace levels in mandarin orange leaves (Sugiyama, S. et al., *Chem. Pharm. Bull.*, Volume 41, 714-719, 1993), and in orange and mandarin peel. Flavonoids are polyphenolic compounds that occur ubiquitously in foods of plant origin. The major dietary sources of flavonoids are vegetables, fruits, and beverages such as tea and red wine (Hertog, M.G.L. et al., *J. Agric. Food Chem.*, Volume 41, 1242-1246, 1993). Flavonoids have been demonstrated to be the most potent dietary antioxidants and in light of the large dietary consumption, flavonoids make a major contribution to the antioxidant potential of the human diet. The main food sources of flavonols and flavones are black tea, onions, apples, herbs, and spices such as cloves and black pepper (Hertog, M.G.L., et al, *J. Agric. Food Chem.*, Volume 40, 2379-2383, 1992).

The association between quercetin and cardiovascular disease has been studied in prospective cohort studies and cross-cultural epidemiological studies. Flavonol and flavone intake was inversely associated with mortality from coronary heart disease and to a lesser extent with incidence of first myocardial infarction. These effects were independent of known risk factors for coronary heart disease such as serum cholesterol, body mass index,

blood pressure, smoking and intake of antioxidant vitamins, alcohol, and fat. Flavonol and flavone intake (mainly quercetin) was also inversely associated with stroke risk (Hertog et al., Lancet, Volume 324, 1007-1011, 1993; Keli et al., Arch. Inter. Med., Volume 154, 637-642, 1996). However, four thousand different types of flavonoids have been described and it is crucial that the active components be identified not only to make a positive impact on agriculture but also to more specifically use these nutraceuticals as anticholesterol agents and/or antithrombotic, anticoronary heart disease, antimyocardial infarction and/or antistroke agents.

In the United States, the complications of atherosclerosis account for about one half of all deaths and for about one third of deaths in persons between 35 and 65 years of age. Atherosclerosis, or the developments of atheromatous plaques in large and medium-sized arteries, is the most common form of arteriosclerosis. Many factors are associated with the acceleration of atherosclerosis, regardless of the underlying primary pathogenic change, for example, age, elevated plasma cholesterol level, high arterial blood pressure, cigarette smoking, reduced high-density lipoprotein (HDL) cholesterol level, or family history of premature coronary artery disease.

The risk of death from coronary artery disease has a continuous and graded relation to total serum cholesterol levels greater than 180 mg/dl (Stamler et al., JAMA, Volume 256, 2823, 1986). Approximately one third of adults in the United States have levels that exceed 240 mg/dl and, therefore, have a risk of coronary artery disease that is twice that of people with cholesterol levels lower than 180mg/dl. Acceleration of atherosclerosis is principally correlated with elevation of LDL, or beta fraction, which is rich in cholesterol but poor in triglycerides, Elevation of HDL or alpha fraction, has a negative correlation with atherosclerosis (Castelli et al., JAMA, Volume 256, 2835, 1986). HDL exerts a protective effect and the ratio of total cholesterol to HDL cholesterol is a better predictor of coronary artery disease than the level of either alone. Total cholesterol levels are classified as being desirable (<200mg/dl), borderline (200-239 mg/dl), or high (>240 mg/dl) (Report of the National Education Expert Panel on Detection, Evaluation, and Treatment of High Blood

Cholesterol in Adults, Arch. Intern. Med., Volume 148, 36, 1988).

Advances in the study of cholesterol metabolism and coronary disease have initiated an era of increased emphasis on preventive therapy. New guidelines for the detection and treatment of high blood cholesterol in adults recommend that patients with high cholesterol levels or with borderline-high levels and two or more additional risk factors should have a measurement of LDL. LDL cholesterol levels are then classified as borderline-high risk (130-159 mg/dl) or high risk (>160 mg/dl). Dietary treatment is recommended for those patients with high-risk levels who have two or more additional risk factors. Drug treatment is recommended for all patients with LDL levels greater than 189 mg/dl and for those patients with LDL cholesterol levels between 159 and 189 mg/dl who have two or more additional risk factors. Among the many drugs that have been used to reduce serum cholesterol levels are cholestyramine, colestipol, clofibrate, gemfibrozil, and lovastatin.

Platelet-blood vessel interactions are implicated in the development of thrombosis. Flavonoids inhibit platelet aggregation and adhesion (Frankel et al., Lancet, Volume 341, 1103-1104, 1993). Flavonoids antagonize thromboxane formation and increase platelet cyclic AMP levels. This is important because flavonoids additionally scavenge free radicals and their antioxidant actions participate in their antithrombotic action (Gryglewski et al., Biochem. Pharmacol., Volume 36, 317-322, 1987). Drug treatment is recommended for patients with thrombosis and ischemic heart disease. The medical therapy comprises pharmaceutical drugs including, but is not limited to, aspirin (anti-platelet aggregating agents) and the combined use of beta-adrenergic blocking agents (e.g. propranolol, nadolol, timolol, etc.), nitrates (e.g., nitroglycerin) and calcium channel blockers (e.g., verapamil, nifedipine, diltiazem, etc.).

There remains a need in the art for methods and compositions for at least reducing the development of and/or treating vascular diseases. The present invention provides new compositions and methods directed to this need. The use of limocitrin derivatives, quercetin derivatives and/or, naturally-occurring polymethoxyflavones and mixtures thereof alone or in combination with a cholesterol-lowering drug has not been reported for at least reducing the

development of and/or treating vascular diseases and disorders.

3. SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases and disorders wherein an effective amount of a composition having at least one limocitrin and/or quercetin derivative is administered to reduce, prevent or treat a mammal at high risk for or suffering from a cardiovascular disease.

Another object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an effective amount of a composition having at least one flavonoid is administered to reduce, prevent or treat a mammal at high risk for or suffering from a cardiovascular disease.

A further object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an effective amount of a composition having at least one limocitrin, quercetin derivative, tocotrienol, and mixtures thereof is administered to reduce, prevent or treat a mammal at high risk for or suffering from a cardiovascular disease.

A still further object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an effective amount of a composition having at least one naturally occurring polymethoxyflavone is administered to reduce, prevent, or treat a mammal at high risk for or suffering from a cardiovascular disease.

Another object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an effective amount of a composition having at least one naturally occurring polymethoxyflavone, tocotrienols, and mixtures thereof, is administered to reduce, prevent or treat a mammal at high risk for or suffering from a cardiovascular disease.

A further object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an

effective amount of a composition having at least one a tocotrienol, flavonoid, and mixtures thereof, is administered to reduce, prevent, or treat a mammal at high risk for or suffering from a cardiovascular disease.

A still further object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an effective amount of a composition having at least one limocitrin derivative, quercetin derivative, naturally occurring polymethoxyaflavone, tocotrienol, and mixtures thereof, is administered to a mammal to lower serum cholesterol, apo-B, and/or LDL cholesterol.

Another object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an effective amount of a composition having at least one limocitrin derivative, quercetin derivative, naturally occurring polymethoxyaflavone, tocotrienol, and mixtures thereof, in combination with a cholesterol-lowering drug, is administered to a mammal to lower serum cholesterol, apo-B, and/or LDL cholesterol

Another object of the present invention is to provide compositions and methods for the reduction, prevention, and/or treatment of cardiovascular diseases or disorders wherein an effective amount of a composition having at least one limocitrin derivative, quercetin derivative, naturally occurring polymethoxyaflavone, tocotrienol, and mixtures thereof, in combination with a pharmaceutical drug including anti-platelets agents, beta-adrenergic blocking agents, nitrates or calcium channel blockers.

Further objects and advantages of the present invention will become apparent from the following description.

4. DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to the use of at least one of limocitrin derivative, quercetin derivative, polymethoxyflavone, tocotrienol and mixtures thereof alone or in combination with at least one cholesterol-lowering drug for the treatment of cardiovascular diseases or disorders. Limocitrin occurs in the peel of lemon as limocitrin-3-*O*-glucoside,

and can be produced from the 3-glycoside by enzymatic and acid hydrolysis (Horowitz et al., J. Org. Chem., Volume 25, 21885-21887, 1960) or by a chemical synthesis procedure such as reported by Dryer et al., Tetrahedron, Volume 20, 2977-2983, 1964. Two limocitrin analogues, limocitrin 3,7,4'-trimethylether and limocitrin-3,5,6-4'-tetramethylether, also occur in orange peel (Tatum et al., Phytochemistry, volume II, 2283-2288, 1972). Several polymethoxyflavones were tested and found to be active as inhibitors of apolipoprotein B (apoB) production and had negligible cytotoxicity in the human liver carcinoma cell line HepG2. It has been shown that humans with coronary heart disease (CAD) have higher levels of apoB in their blood. ApoB concentrations also reflect the number of LDL, and VLDL (very low density lipoprotein) particles in arteries. Administering polymethoxylatedflavone of the invention to a mammal results in a reduction in the amount of substances in the blood which contribute to CAD, such as for example apoB, LDL, cholesterol, etc; preferably reduction of the serum, plasma, or whole blood concentration or *in vivo* amounts of these substances. Preferably the concentration or *in vivo* amount of these substances is reduced to normal levels typically found in such a mammal. Also, preferably, the polymethoxylatedflavone of the present invention are administered in amounts which produce little or no cytotoxicity, more preferably where no cytotoxicity is produced.

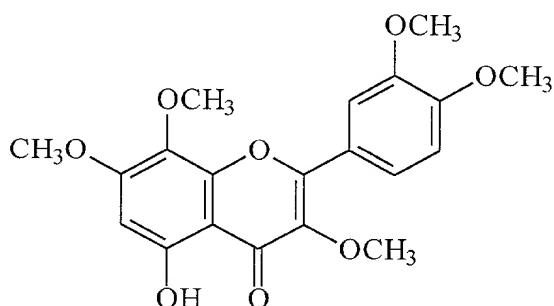
By way of definition, a polymethoxylatedflavone is a flavone substituted with methoxy groups, preferably at least 2, more preferably at least 3, more preferably at least 4, more preferably 4-8, and most preferably 4-7 methoxy groups and optionally substituted by one or more hydroxy groups, preferably 1-3, and more preferably 1-2 hydroxy groups.

Four compounds of the present invention were synthesized from the lemon flavonoid limocitrin (3',8-dimethoxy-3,5,7,4'-tetrahydroxyflavone) for use in the present invention: limocitrin-3,7,4'-trimethylether (5-hydroxy-3,7,8,3',4'-pentamethoxyflavone); limocitrin-3,5,7,4'-tetramethylether (3,5,7,8,3'4'-hexamethoxyflavone); and limocitrin-3,7,4'-trimethylether-5-acetate.

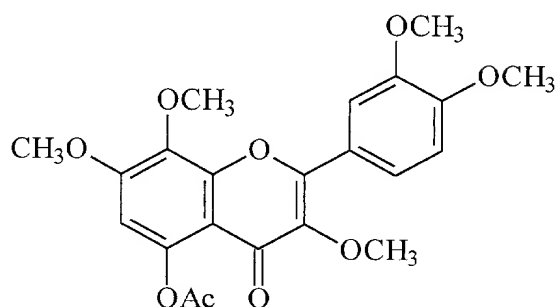
A number of methoxylated flavones, most of which occur naturally in citrus, have been found to be useful in the present invention. Also included are substituted derivatives of

quercetin. The compounds in these groups include 5-desmethylnobiletin (5-hydroxy-6,7,8,3',4'-pentamethoxyflavone); tetra-O-methylisoscuteallarein (5,7,8,4'-tetramethoxyflavone); 3,5,6,7,8,3',4'-heptamethoxyflavone; nobiletin (5,6,7,8,3',4'-hexamethoxyflavone); tangeretin (5,6,7,8,4'-pentamethoxyflavone); sinensetin (5,6,7,3',4'-pentamethoxyflavone); 5-desmethylinensetin (5-hydroxy-6,7,3',4'-tetramethoxyflavone); quercetin tetramethylether (5-hydroxy-3,7,3',4'-tetramethoxyflavone); quercetin 3,5-dimethylether-7,3',4'-tribenzylether; quercetin pentamethyl ether (3,5,7,3',4'-pentamethoxyflavone); quercetin-5,7,3',4'-tetramethylether-3-acetate; quercetin-5,7,3',4'-tetramethylether (3-hydroxy-5,7,3',4'-tetramethoxyflavone).

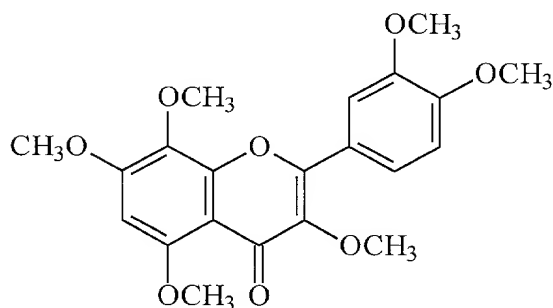
The basic structures for limocitrin derivatives and 5-desmethylinensetin are represented below:



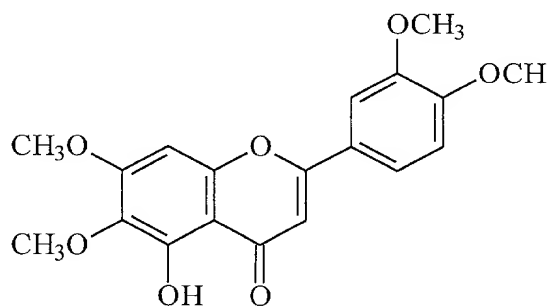
limocitrin-3,7,4'-trimethylether



limocitrin-3,7,4'-trimethylether-5-acetate



limocitrin-3,5,7,4'-tetramethylether



5-desmethylinensetin

Examples of tocotrienol compounds useful in the present invention include, but are not limited to, are alpha-tocotrienol, gamma-tocotrienol, delta-tocotrienol, and mixtures thereof.

Examples of cholesterol-lowering drugs for the treatment of cardiovascular diseases or disorders useful in the present invention include, but are not limited to, are cholestyramine, colestipol, clofibrate, gemfibrozil or lovastatin.

The methods of the present invention may be administered to any mammal. Most preferably, the polymethoxylatedflavone useful in the methods of the present invention are administered to humans.

In another aspect of the present invention, the polymethoxylatedflavone may be formulated into a pharmaceutical preparation by a conventional method usually employed in the art.

Dosages for the compositions of the present invention may be formulated into pharmaceutical preparations for administration to mammals for reduction, prevention, and treatment of cardiovascular diseases. Examples, not limited thereto, of cardiovascular disease treatable by the compositions of the present invention include hypercholesterolemia, hyperlipidemia, atherosclerosis, thrombosis, myocardial infarction, etc

Many of the limocitrin derivatives, quercetin derivatives, naturally-occurring polymethoxyflavones, tocotrienol compounds and mixtures thereof may be provided as compounds with pharmaceutically compatible counterions, a form in which they may be soluble. Counterions for the purposes of this invention include, for example, hydrophilic and hydrophobic agents.

The polymethoxylatedflavone can be administered by a variety of routes, including oral, transdermal, rectal, intrarticular, intravenous, and intramuscular introduction. However, it should be understood that the amount of the polymethoxylatedflavone actually administered ought to be determined in light of various relevant factors including the condition to be treated, the chosen route of administration, the age and weight of the individual patient, and the severity of the patient's condition, and therefore, the doses given

herein should not be construed to limit the scope of the invention in any way. The polymethoxylatedflavone useful in the present invention may be administered in a pharmaceutically or physiologically acceptable carrier. The pharmaceutically or physiologically acceptable carrier is any solvent with which the polymethoxylatedflavone is compatible and which is non-toxic to individuals treated at the amounts administered. A variety of delivery systems for pharmacological compositions may be employed including, but not limited to, liposomes and emulsions. The pharmaceutical compositions also may comprise suitable solid or gel phase carriers or excipients. Examples of excipients include, but are not limited to, calcium carbonate, calcium phosphate, various sugars, starches, cellulose derivatives, gelatin, and polymers such as polyethylene glycols.

Formulations suitable for oral administration include liquid solutions of the active compound or compounds dissolved in a diluent such as, for example, saline, water, PEG 400; solid preparations such as capsules or tablets, each containing a predetermined amount of the active agent as solids, granules, gelatins, suspensions, and/or emulsions.

Formulations suitable for parenteral administration include aqueous and non-aqueous isotonic sterile solutions which contain buffers, antioxidants, and preservatives. The formulations may be in unit dose or multi-dose containers.

Dosages administered are any effective amount of a polymethoxylatedflavone which will, when given for the treatment, prophylactically or therapeutically, reduce or prevent cardiovascular diseases by reducing levels of substances which contribute to cardiovascular diseases to normal or near normal levels in the blood or in vivo. By way of definition substances which contribute to cardiovascular diseases, include but are not limited to apoprotein B, low density lipoproteins, very low density lipoproteins, cholesterol, etc.

Patient dosages for oral administration of flavonoids range from about 1-1000 mg/day, commonly 1-500 mg/day, and typically 1-100 mg/day. Stated in terms of patient with a 70 kg body weight, usual dosages range from about 0.01-15 mg/kg/day, commonly from about 0.01-7.0 mg/kg/day, and typically from about 0.01-2.0 mg/kg/day.

Patient dosages for oral administration of synthetic flavonoid analogues range from

about 2000-5000 mg/day, commonly from about 1000-2000 mg/day, and typically from about 500-1500 mg/day.

Patient dosages for oral administration of limocitrin derivatives, quercetin derivatives, naturally-occurring polymethoxyflavones, and tocotrienols range from about 1-1000 mg/day, commonly about 1-500 mg/day, and typically from about 1-100 mg/day .

Patient dosages for oral administration of synthetic limocitrin derivatives range from about 200-500 mg/day, commonly about 1000-2000 mg/day, and typically from about 500-1500 mg/day.

Patient dosages for oral administration of naturally-occurring polymethoxyflavones range from about 1-1000 mg/day, commonly from about 1-500 mg/day, and typically from about 1-100 mg/day. Stated in terms of patient body weight, for about 70 kg body weight, usual dosages range from about 0.01-15 mg/kg/day, commonly from about 0.01-7.0 mg/kg/day, and typically from about 0.01-2.0 mg/kg/day.

Dosage amount and interval may be adjusted individually to provide plasma levels of the active moiety which are sufficient to maintain the anti-proliferative and antioxidative effects of the disease being treated.

For local administration, the composition can be administered by injection directly into a tissue, often in a depot or sustained release formulation.

The following examples illustrate the use of the invention for lowering substances which contribute to cardiovascular diseases and disorders. They are intended to further illustrate the invention and are not intended to limit the scope of the invention as defined by the claims.

EXAMPLE 1

Limocitrin derivatives, quercetin derivatives, naturally occurring polymethoxyflavones, and tocotrienols were tested for cholesterol lowering activity in HepG2 cells. Confluent HepG2 cells were preincubated for 24 hours in a lipoprotein-free medium (Minimum Essential Medium (MEM), Life Technologies, Burlington, Canada) in which the fetal bovine serum (Life Technologies, Burlington, Canada) was replaced by bovine serum

albumin (BSA, Life Technologies, Burlington, Canada) to inhibit cell proliferation and to stimulate synthesis of cholesterol-containing lipoproteins. Cells were subsequently incubated for another 24 hours in the same medium in the presence or absence of limocitrin derivatives, quercetin derivatives, naturally occurring polymethoxyflavones, or tocotrienols (See Table 1) at the highest concentrations sustaining about 100% \pm 10% cell viability, as determined by the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) viability assay. The test compounds were added to the cell culture medium after solubilization in dimethyl sulfoxide (DMSO) and filter sterilized. The final concentration of DMSO in culture media did not exceed 0.3% by volume, to prevent any effects of DMSO on apo B metabolism. For each experiment, equal concentrations of solvent were added to all treatment media and to a control medium (without tested compounds). At the end of the incubation, culture media were collected and the concentration of the LDL structural protein, apo-B, was measured by ELISA. The competitive Elisa assay kit for determination of human apo B (Ortho Diagnostics, LaJolla, CA) measures medium apo B concentrations within the range 0.4-0.031 μ g/mL. In this assay, microtiter 96-well plates are coated with monoclonal antibody against human apo B, which binds apo B epitope from human LDL (standard curve, control sera with high and low content of human apo B) or from LDL-like lipoproteins secreted by HepG2 cells (tested media, usually 2 x diluted with Ca^{2+} - and Mg^{2+} -free phosphate buffered saline (PBS)). After the exposure, a fraction of antibody is blocked and the remaining amount is captured by a subsequent incubation with conjugate containing a secondary antibody (against anti-human apo B) coupled with horseradish peroxidase. The following incubation with horseradish peroxidase substrate, o-phenylenediamine, produces a yellow color change proportional to the amount of apo B present, and the optical density of samples is measured at 490-492 nm against the blank PBS/conjugate wells. To determine whether evaluated compounds cross-react with Elisa assay, in separate study, various doses of compounds were tested in presence of cell-free culture media.

Amount of lipoprotein-associated apo-B in the media (net apo-B secretion) was determined

as described above, and calculated per mg cell protein. Net apo B secretion was also expressed as percent of control (amount of apo B in medium from cells incubated without tested compounds).

Table 1: IC₅₀ concentrations were 2.5 µg/mL for tangeretin, 4.9 µg/mL for nobiletin, 7.8 µg/mL for heptamethoxyflavone and 17.8 µg/mL for sinensetin.

Compound	Concentration µg/mL medium	Apo B µg/mL medium	% apo B in medium	% apo B reduction
5, 6, 7, 8, 4'- pentamethoxyflavone	0	0.252 ± 0.20	100 ± 4	-
	3.12	0.080 ± 0.02	32 ± 8	68
	6.25	0.055 ± 0.01	22 ± 2	78
	12.5	0.048 ± 0.01	19 ± 2	81
	25.0	0.035 ± 0.01	14 ± 1	86
5, 6, 7, 8, 3', 4'- hexamethoxyflavone	0	0.55 ± 0.21	100 ± 15	-
	6.25	0.37 ± 0.09	67 ± 16	33
	12.5	0.175 ± 0.03	32 ± 6	68
	25.0	0.10 ± 0.01	18 ± 1	82
	50.0	0.095 ± 0.01	17 ± 1	83
Heptamethoxyflavone	0	0.250 ± 0.02	100 ± 8	-
	6.25	0.138 ± 0.02	55 ± 5	45
	12.5	0.080 ± 0.02	32 ± 9	68
	25.0	0.048 ± 0.01	19 ± 3	81
	50.0	0.045 ± 0.01	18 ± 2	82
Sinensetin	0	0.93 ± 0.07	100 ± 7	-
	6.25	0.70 ± 0.13	75 ± 14	25
	12.5	0.54 ± 0.04	58 ± 4	42
	25.0	0.35 ± 0.04	38 ± 5	62
	50.0	0.26 ± 0.02	28 ± 5	72
Tetra-O-methyl- Scutellarein	0	0.430 ± 0.01	100 ± 10	-
	6.25	0.305 ± 0.03	71 ± 7	29
	12.5	0.255 ± 0.06	59 ± 13	41
5-desmethylinensetin	0	1.15 ± 0.29	100 ± 25	-
	25.0	0.84 ± 0.21	73 ± 18	27
	50.0	0.41 ± 0.20	36 ± 1	64

The three naturally occurring polymethoxyflavones tested have a dose-response inhibitory effect on apo-B production. These results along with other preliminary studies indicate that the following compounds when used either alone or in combination have inhibitory effects on apo-B production: the limocitrin and quercetin derivatives: limocitrin-3,7,4'-trimethylether (5-hydroxy-3,7,8,3',4'-pentamethoxyflavone); limocitrin-3,5,7,4'-tetramethylether (3,5,7,8,3',4'-hexamethoxyflavone); limocitrin-3,5,7,4'-tetraethylether (8,3'-dimethoxy-3,5,7,4'-hexamethoxyflavone); limocitrin-3,7,4'-trimethylether-5-acetate; quercetin tetramethylether (5-hydroxy-3,7,3',4'-tetramethoxyflavone); quercetin-3,5-dimethylether-7,3',4'-tribenzyl ether; quercetin pentamethyl ether (3,5,7,3',4'-pentamethoxyflavone); quercetin-5,7,3',4'-tetramethylether-3-acetate; and quercetin-5,7,3',4'-tetramethylether (3-hydroxy-5,7,3',4'-tetramethoxyflavone); and the naturally occurring polymethoxyflavones: 3,5,6,7,8,3',4'-heptamethoxyflavone; nobiletin (5,6,7,8,3',4'-hexamethoxyflavone); tangeretin (5,6,7,8,4'-pentamethoxyflavone); 5-desmethylnobiletin (5-hydroxy-6,7,8,3',4'-pentamethoxyflavone); tetra-O-methylisoscutearein (5,7,8,4'-tetramethoxyflavone); 5-desmethylinensetin (5-hydroxy-6,7,3',4'-tetramethoxyflavone); and sinensetin (5,6,7,3',4'-pentamethoxyflavone).

The present invention is not to be limited in scope by embodiments disclosed in the examples that are intended illustration purposed and any methods which are functionally equivalent are within the scope of the invention. Various modifications of the invention in addition to those shown and described herein will become apparent to one of ordinary skill in the art from the foregoing description. Such modifications are intended to fall within the scope of the appended claims. The various publications cited herein are herein incorporated by reference in their entirety.

We claim:

1. A method for reducing the level of substances in a mammal which contribute to cardiovascular diseases or disorders comprising administering to a mammal in need of such

treatment, an effective amount of a polymethoxyflavone to reduce the production of substances in a mammal which contribute to cardiovascular diseases and/or disorders.

2. The method of claim 1 wherein the substances are selected from the group consisting of apolipoprotein B, cholesterol, low density lipoprotein, very low density lipoprotein and mixtures thereof.
3. The method of claim 2 wherein the substance is apolipoprotein B.
4. The method of claim 1 wherein said mammal is a human.
5. The method of claim 1 wherein in said polymethoxyflavone is selected from the group consisting of limocitrin-3,7,4'-trimethylether (5-hydroxy-3,7,8,3',4'-pentamethoxyflavone), limocitrin-3,5,7,4'-tetramethylether (3,5,7,8,3',4'-hexamethoxyflavone), limocitrin-3,5,7,4'-tetraethylether (8,3-dimethoxy-3,5,7,4'-tetraethoxyflavone), limocitrin-3,7,4'-trimethylether-5-acetate, and mixtures thereof.
6. The method of claim 1 wherein said polymethoxyflavone is selected from the group consisting of 5-desmethylnobiletin (5-hydroxy-6,7,8,3',4'-pentamethoxyflavone); tetra-O-methylisoscutearein (5,7,8,4'-tetramethoxyflavone), sinensetin (5,6,7,3,4'-pentamethoxyflavone), 5-desmethysinensetin (5-hydroxy-6,7,3',4'-tetramethoxyflavone), quercetin tetramethylether (5-hydroxy-3,7,3',4'-tetramethoxyflavone), quercetin-3,5-dimethylether-7,3',4'-tribenzyl ether, nobiletin (5,6,7,8,3',4'-hexamethoxyflavone), quercetin pentamethyl ether (3,5,7,3',4'-pentamethoxyflavone), tangeretin (5,6,7,8,4'-pentamethoxyflavone), quercetin-5,7,3',4'-tetramethyl ether-3-acetate, 3,5,6,7,8,3',4'-heptamethoxyflavone, 5,7,3',4'-tetramethylether (3-hydroxy-5,7,3',4'-tetramethoxyflavone), and mixtures thereof.
7. The method of claims 5 or 6 further comprising administering at least one tocotrienol

wherein said tocotrienol is selected from the group consisting of alpha-tocotrienol, gamma-tocotrienol, delta-tocotrienol, and mixtures thereof.

8. The method of claims 5 or 6 further comprising administering at least one pharmaceutical drug used in inhibiting cardiovascular diseases.
9. A pharmaceutical composition suitable for administering to a mammal at risk or suffering from a cardiovascular disease or disorder comprising an effective amount of at least one polymethoxyflavone to reduce the production of substances in a mammal which contribute to cardiovascular diseases or disorders selected from the group consisting of limocitrin-3,7,4'-trimethylether (5-hydroxy-3,7,8,3',4'-pentamethoxyflavone), limocitrin-3,5,7,4'-tetramethylether (3,5,7,8,3',4'-hexamethoxyflavone), limocitrin-3,5,7,4'-tetraethylether (8,3-dimethoxy-3,5,7,4'-tetraethoxyflavone), limocitrin-3,7,4'-trimethylether-5-acetate, and mixtures thereof.
10. A pharmaceutical composition suitable for administering to a mammal at risk or suffering from a cardiovascular disease or disorder, said composition comprising an effective amount of at least one polymethoxyflavone to reduce the production of substances in a mammal which contribute to cardiovascular diseases or disorders selected from the group consisting of 5-desmethylnobiletin (5-hydroxy-6,7,8,3',4'-pentamethoxyflavone); tetra-*O*-methylisoscuteallarein (5,7,8,4'-tetramethoxyflavone), sinensetin (5,6,7,3',4'-pentamethoxyflavone), 5-desmethylsinensetin (5-hydroxy-6,7,3',4'-tetramethoxyflavone), quercetin tetramethylether (5-hydroxy-3,7,3',4'-tetramethoxyflavone), quercetin-3,5-dimethylether-7,3',4'-tribenzyl ether, nobiletin (5,6,7,8,3',4'-hexamethoxyflavone), quercetin pentamethyl ether (3,5,7,3',4'-pentamethoxyflavone), tangeretin (5,6,7,8,4'-pentamethoxyflavone), quercetin-5,7,3',4'-tetramethyl ether-3-acetate, 3,5,6,7,8,3',4'-heptamethoxyflavone, quercetin-5,7,3',4'-tetramethyl ether (3-hydroxy-5,7,3',4'-tetramethoxyflavone), and mixtures thereof.

11. The composition of claims 9 or 10 further comprising at least one tocotrienol wherein said tocotrienol is selected from the group consisting of alpha-tocotrienol, gamma-tocotrienol, delta-tocotrienol, and mixtures thereof.

12. The composition of claims 9 or 10 further comprising administering at least one pharmaceutical drug used in inhibiting cardiovascular diseases.

ABSTRACT OF THE DISCLOSURE

Compositions and methods for the treatment, reduction and/or prevention of cardiovascular diseases and disorders are described. Individuals at high risk for developing or having cardiovascular disease or disorder may be treated with an effective dose of a polymethoxyflavone including limocitrin derivatives, quercetin derivatives, naturally occurring polymethoxyflavones, tocotrienols, and mixtures of these compounds.

Docket No.
52953.8

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMPOSITIONS AND METHODS OF TREATING, REDUCING, AND PREVENTING CARDIOVASCULAR DISEASES AND DISORDERS WITH POLYMETHOXYFLAVONES

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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